

May 24, 2022

The Secretary
BSE Limited
Pheeroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai 400 001
Scrip Code: 531595

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No.- 'C' Block, G Block
Bandra-Kurla Complex, Bandra (East),
Mumbai – 400 051
Scrip Code: CGCL

Sub: Secretarial Compliance Report for the Financial Year ended March 31, 2022

Dear Sir/Madam,

In terms of Circular issued by the Securities and Exchange Board of India vide Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019 and pursuant to Regulation 24A (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015 please find enclosed herewith Secretarial Compliance Report for the Financial Year ended March 31, 2022 issued by the Secretarial Auditor of the Company Sandeep P Parekh & Co., Company Secretaries.

You are requested to kindly take the above information on record.

Thanking you,

Yours faithfully,
for **Capri Global Capital Limited**

Yashesh Bhatt
Company Secretary & Compliance Officer
Membership No.: ACS 20491

Encl.: As above

Capri Global Capital Limited

Registered Office:

CIN: L65921MH1994PLC173469 Regd. Off: 502, Tower A, Peninsula Business Park, Lower Parel, Mumbai – 400013.

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Sandeep P Parekh & Co

Company Secretaries

**Secretarial Compliance Report of CAPRI GLOBAL CAPITAL LIMITED
(CIN: L65921MH1994PLC173469)
for the year ended 31st March, 2022**

*{pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019
under the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015}*

I, **Sandeep Parekh**, have examined:

- (a) all the documents and records made available to us and explanation provided by **CAPRI GLOBAL CAPITAL LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity and
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(during the period under review, not applicable to the listed entity);**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(during the period under review, not applicable to the listed entity);**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(during the period under review, not applicable to the listed entity);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-



Sandeep P Parekh & Co

Company Secretaries

Sr No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	The Outcome of the Board Meeting dated 9 th May, 2020, at which the audited financial results for the year ended 31 st March, 2020 were approved, was submitted to the stock exchanges with delay.	The Outcome of the Board Meeting dated 9 th May, 2020, at which the audited financial results for the year ended 31 st March, 2020 were approved, was submitted to the stock exchanges with delay.	-	As per the explanation given by the Company, the delay of about fourteen minutes in submitting the outcome of the Board Meeting to BSE Limited was caused due to technical glitch in internet connection at the Company's office, delaying receipt of the OTP for login on the Stock Exchange's portal and prolonging the upload processing time. No explanation was called for by the Stock Exchanges in this regard.

For **Sandeep P Parekh & Co.**
Company Secretaries

Sandeep P. Parekh
FCS No: 7118, CP No: 7693

Place: Navi Mumbai
Date: 21/05/2022
UDIN: F007118D000359294